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# ADAM33 GENE POLYMORPHISMS IN CHRONIC OBSTRUCTIVE PULMONARY DISEASE

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### Abstract

*Study objective:* The pathogenesis of chronic obstructive pulmonary disease (COPD) is characterized by an interaction of environmental influences, particularly cigarette smoking, and genetic determinants. Given the global increase in COPD, research on the genomic variants that affect susceptibility to this complex disorder is reviving. In the present study, we investigated whether single nucleotide polymorphisms in 'a disintegrin and metalloprotease' 33 (ADAM33) are associated with the development and course of COPD.

*Patients and design:* We genotyped 150 German COPD patients and 152 healthy controls for the presence of the F+1 and S\_2 SNPs in ADAM 33 that lead to the base pair exchange G to A and C to G, respectively. To assess whether these genetic variants are influential in the course of COPD, we subdivided the cohort into two subgroups comprising 60 patients with a stable and 90 patients with an unstable course of disease.

*Results:* In ADAM33, the frequency of the F+1 A allele was 35.0% among stable and 43.9% among unstable COPD subjects, which was not significantly different from the 35.5% found in the controls (P = 0.92 and P = 0.07, respectively). The frequency of the S\_2 mutant allele in subjects with a stable COPD was 23.3% (P = 0.32), in subjects with an unstable course 30.6% (P = 0.47).

*Conclusion:* The study shows that there is no significant difference in the distribution of the tested SNPs between subjects with and without COPD. Furthermore, these polymorphisms appear to have no consequences for the stability of the disease course.

# Key words: COPD, ADAM33, genetics

#### INTRODUCTION

Progressive airflow limitation due to chronic obstructive bronchitis and emphysema is the main characteristic of chronic obstructive pulmonary disease (COPD). At the moment, COPD ranks fourth as a global cause of death and shows a worldwide increase both in morbidity and mortality. This development has provoked rising interest in the clarification of the pathogenic mechanisms underlying this common disease, in order to deduce appropriate and effective therapeutic interventions. Environmental and genetic determinants and their interactions influence COPD susceptibility. The most significant environmental contributor is tobacco smoke. However, not all smokers develop COPD, indicating that genetic factors are at play. Genomic approaches have investigated multiple candidate genes, with inconsistent results [1]. Research on the genetic bases for COPD is therefore still required.

A genetic study has identified ADAM33 to be a susceptibility gene for asthma [2]. It codes for 'a disintegrin and metalloproteinase', a subfamily of transmembrane metalloproteinases, and it shows preferential expression in lung fibroblasts and airway smooth muscle cells. The ADAM33 gene is a putative gene for airway remodeling, as correlations between polymorphisms in ADAM33 and asthma affect both the disease development and its progression.

To determine whether SNP's in this gene predict the progression of COPD in a comparable manner, we examined two polymorphic variants (F+1 and S\_2) that have previously been described to affect the course of asthma [3, 4] and that have been shown to influence the decline of lung function in a Dutch cohort [5].

#### MATERIAL AND METHODS

## SAMPLES

One hundred and fifty German subjects with a clinical diagnosis of chronic obstructive lung disease following the GOLD (The Global Initiative for Chronic Obstructive Lung Disease) guidelines of the ATS/ERS participated in the study. This COPD group was subdivided in 60 patients with a stable course, defined as less than three hospitalizations over the last year due to COPD, and 90 patients with instable disease. Patient recruitment was carried out at the Medical Policlinic, Department of Medicine, Bonn University Hospital, Germany and at the Department of Internal Medicine, St. George Medical Center in Leipzig, Germany.

	All COPD	Stable COPD	Unstable COPD	Control
Number	150	60	90	152
Age	$62.6 \pm 11.4$	$61.6 \pm 11.7$	$63.4 \pm 11.2$	$63.4 \pm 18.2$
Gender (F/M)	50/100	20/40	30/60	107/45
Pack/years	31.1 ± 22.9	$28.6 \pm 15.3$	$32.8 \pm 26.1$	$18.7 \pm 8.4$
Smokers	73 (49%)	33 (55%)	40 (44%)	47 (31%)
Non-Smokers	13 (9%)	2 (3%)	11 (12%)	12 (8%)
Ex-Smokers	64 (43%)	25 (42%)	39 (43%)	93 (61%)
FEV1 (% pred)	$52.4 \pm 21.1$	$59.2 \pm 19.4$	$47.9 \pm 21.0$	$81.6 \pm 21.5$
FEV1/FVC	$58.6 \pm 14.9$	$60.3 \pm 14.7$	$57.4 \pm 14.9$	$94.0 \pm 12.7$
GOLD I	19	7	12	
GOLD II	38	16	22	
GOLD III	80	33	47	
GOLD IV	13	4	9	

Table 1. Baseline characteristics of the COPD and control subjects.

Data are means ±SD.

Table 2. Sequences of primers for genotyped polymorphisms.

Gene	Polymorphism	Primers
ADAM33	F+1	for: 5'-CTGCCACAATGTACAGTTCCAGGT-3' rev: 5'-GGAGTGGGAATGCTGTATCTATAGC-3'
ADAM33	S_2	for: 5'-GACTGGGAGGCCACTGGA-3' rev: 5'-CGCAGACCATGACACCTTCCT-3'

Table 3. Restriction enzymes and length of the resulting fragments.

Gene	Polymorphism	Restriction enzyme	Length of restriction fragments
ADAM33	F+1	BsmB I	Wild type (allele G): 215bp + 38bp Mutation (allele A) : 253bp
ADAM33	S_2	Ban I	Wild type (allele C): 328bp Mutation (allele G) : 262bp + 66bp

The control group included 152 ethnically matched healthy volunteers, recruited at the Medical Policlinic, Bonn University Hospital. Both patient and control groups comprised individuals of Caucasian ancestry. Baseline characteristics of the subjects are displayed in Table 1.

Sample collection was approved by the Ethical Committee of the Medical Faculty in Bonn and of St. George Medical Center in Leipzig. Written informed consent was obtained from all participants prior to inclusion into the study. Genomic DNA was extracted from peripheral blood leucocytes by the salting-out procedure described by Miller et al. [6].

# Polymerase Chain Reaction (PCR) and Restriction Fragment Length Polymorphism (RFLP)

Genotyping for the genetic variants in ADAM33 in the COPD and control cohorts was carried out by means of PCR and RFLP. As described before, PCR was performed in a total volume of 25  $\mu$ l containing 1  $\mu$ g of genomic DNA, 0.5 U Taq polymerase (Invitrogen, Karlsruhe, Germany), 1  $\mu$ l of each 1.25 mM nucleotide (MWG-Biotech, Ebersberg, Germany), 2.5  $\mu$ l 10 x PCR-buffer (Invitrogen), 2 mM of MgCl<sub>2</sub> (Invitrogen), and 1  $\mu$ l of each 10  $\mu$ M primer (MWG-Biotech) [7, 8]. Identical primers as described in the study from van Diemen et al. were chosen (Table 2) [5].

PCR was run in a Thermocycler (T-Gradient, Biometra, Goettingen, Germany) with following cycling conditions: For amplification of genomic fragments in ADAM33, 6 min denaturation at 95°C preceded 30 cycles of 30 s denaturation at 95°C, 30 s annealing at 64°C, 1 min extension at 74°C, and one last cycle of extension for 10 min at 74°C. F+1 and S\_2 SNP genotyping was performed by digestion with the restriction endonucleases BsmB I (New England Biolabs, Frankfurt am Main, Germany) and Ban I (New England Biolabs), respectively. The resulting fragments were separated on 3% MetaPhor agarose (Biozym, Hessisch Oldendorf, Germany) and stained with ethidium bromide (Table 3).

#### STATISTICAL ANALYSIS

Statistical analysis was performed using software SPSS (version 13.0, Chicago, IL, USA). For each SNP, the distribution of genotypes was tested for differences between controls and stable/instable patients using Armitage's trend test in accordance with the guide-lines given by Sasieni [9]. The number of participants in the study led to a statistical power that ranged above 0.8.

# RESULTS

Results of genotyping are summarized in Tables 4 to 5. In detail, we observed the following constellations. In ADAM33, the distribution of the F+1 locus SNP in the COPD population did not differ substantially from that obtained in the control group. Among the healthy subjects (n=152), the frequency of the F+1 rare allele was 35.5% (74 heterozygotes and 17 homozygotes for mutation); in individuals with COPD (n=150), the frequency was 40.3% (73 heterozygotes and 24 homozygotes for mutation, P=0.22). The comparison of the stable and unstable COPD patients

with the healthy controls revealed a frequency of the F+1 mutant allele of 35% (P=0.92) and 43.9% (P=0.07), respectively.

With regard to the S\_2 SNP, 72 out of the 152 controls were heterozygous and 6 homozygous for the polymorphism (mutant allele frequency: 27.6%). In individuals with COPD, 61 were heterozygous and 11 homozygous (mutant allele frequency: 27.7%, P= 0.99). In an additional analysis, 27 of the 60 stable COPD patients were carriers of the rare allele for S\_ 2 (frequency: 23.3%, P=0.32); among 90 COPD patients with instable course, 30.6% carried the mutant allele (P=0.47). Similarly to F+1, we found no significant difference in distribution of S\_2, neither in the complete COPD collective nor in the subpopulations.

With a significance level set to P>0.05, the examined SNPs were in Hardy-Weinberg equilibrium in the different populations studied. Merely the ADAM33 S\_2 polymorphism showed a borderline pertinence in the control group, but a minor allele frequency of 27.6% was in a range of frequencies obtained in other studies investigating comparable healthy populations (25% and 24.1% in the studies by Simpson et al. [4] and van Diemen et al. [5], respectively). Using Armitage's trend test for statistical analysis of genotype distribution, we additionally employed a method characterized by a higher robustness against departures from assumptions of Hardy-Weinberg equilibrium.

Table 4. Case-control analysis of ADAM33 F+1 allele frequencies and genotype distributions (genotypes in comparison with controls)

	All COPD	Stable COPD	Unstable COPD	Control
Homozygotes for wild type	53	24	29	61
	(35.3%)	(40.0%)	(32.2%)	(40.1%)
Heterozygotes for mutation	73 (48.7%)	30 (50.0%)	43 (47.8%)	74 (48.7%)
Homozygotes for mutation	24	6	18	17
	(16.0%)	(10.0%)	(20.0%)	(11.2%)
Carrier frequency of the mutant allele	40.3%	35.0%	43.9%	35.5%
	(121/300)	(42/120)	(79/180)	(108/304)
P-value (Armitage's trend test)	0.22	0.92	0.07	

*Table 5.* Case-control analysis of ADAM33 S\_2 allele frequencies and genotype distributions (genotypes in comparison with controls).

	All COPD	Stable COPD	Unstable COPD	Control
Homozygotes for wild type	78	33	45	74
	(52.0%)	(55.0%)	(50.0%)	(48.7%)
Heterozygotes for mutation	61	26	35	72
	(40.7%)	(43.3%)	(38.9%)	(47.4%)
Homozygotes for mutation	11	1	10	6
	(7.3%)	(1.7%)	(11.1%)	(4.0%)
Carrier frequency of the mutant allele P-value (Armitage's trend test)	27.7% (83/300) 0.99	23.3% (28/120) 0.32	30.6% (55/180) 0.47	27.6% (84/304)

#### DISCUSSION

To demonstrate the evidence for the association of genetic variations within ADAM33 regarding progression of COPD, we genotyped two SNPs in ADAM33. The study was performed in a Caucasian population of COPD patients by means of a case-control approach. However, on the basis of our data, we were not capable of showing significant distributive differences of the tested polymorphisms between German COPD individuals and matched healthy controls neither in a total patient population sample, nor in subdivisions in accordance with the disease stability of course.

ADAM proteins are transmembrane, zinc-dependent metalloproteases with various biological functions, encompassing signal transduction and cell fusion and communication. ADAM33, a member of this gene family, has attracted attention as a possible contributor to asthma development. By using positional cloning techniques, van Eerdewegh et al. [2] have identified ADAM33, encoded on chromosome 20p13, as a susceptibility gene for asthma. Additionally, they demonstrated that ADAM33 is expressed in airway smooth muscle cells and lung fibroblasts, but not in the bronchial epithelium. Given this pattern of expression and the ability of ADAM family members to release growth factors and cytokines, it seems biologically plausible that ADAM33 has a role in airway remodeling as observed in asthmatics. This has been proven recently [10]. Some case-control and family linkage studies have found an association between single nucleotide polymorphisms in ADAM33 and asthma. However, the associated SNPs varied between the populations studied, indicating that the functionally relevant mutation in ADAM33 has not yet been established. For our research, we evaluated a subset of SNPs that has previously been found to modify the course of asthma rather than its onset: The F+1 locus SNP has been demonstrated to be the one that, in early life, is associated with lung function loss at both 3 and 5 years of age [4]. Another study detected an association between the S\_2 polymorphism in exon S and functional respiratory impairment in Dutch asthma patients [3]. Additional evidence for ADAM33 as a contributor to accelerated airway dysfunction in another obstructive and inflammatory pulmonary disease as asthma has been provided recently [11]. A correlation between ADAM33 expression levels and asthma severity and changes in FEV1 was described. In consideration of these outcomes, we focused on the gene's role in the progression of airway diseases.

However, approaches to clarify whether these results are transferable to the pathogenesis and pathophysiology of COPD are rare. Apart from chronic obstructive bronchitis, emphysema is a main pathological condition for COPD [12]. An imbalance of endogenous proteinases and antiproteinases is considered to be a major mechanism for emphysematous lung destruction. In this context, matrix metalloproteinases have aroused interest due to their capability of inducing emphysema by proteolysis of lung parenchyma. Similar to matrix metalloproteinases, the ADAM family possesses proteolytic and adhesive activity. Alterations in ADAM33 as a member of this gene family may therefore affect the regulation of cell-cell and cell-matrix adhesion and the extent of extracellular matrix degradation. So it is conceivable that mutations in ADAM33 might promote emphysematous changes, a main feature of COPD. Studies on the distribution of ADAM33 indicate a selective expression in mesenchymal cells, such as lung fibroblasts and bronchial smooth muscle, but not in T cells or inflammatory leukocytes [13]. This is interesting, as there is evidence, that the homozygotic minor variants of SNP S\_2 lead to lowered CD8 cell numbers in patients compared with the wild type [14]. In COPD the predominant cells are macrophages, T lymphocytes and neutrophils. Cigarette smoke may induce macrophages to release neutrophil-chemotactic factor; thereupon, stimulated macrophages and neutrophils may provoke the release of proteinases. To provide insights into the importance of ADAM33 polymorphisms to the development and course of COPD, further population and functional studies are required.

In the already mentioned Dutch general population study, eight SNPs in ADAM33 in a 1,390 subjects were genotyped [5]. In the general population, a significant excessive decline in FEV1 in homozygotes for the rare alleles of SNPs S\_2 was observed; baseline FEV1, however, showed no association to any of the tested polymorphisms. Additionally F+1 and S\_2 were significantly higher prevalent among the subgroup of 186 COPD patients. We only found a trend in F+1 SNP associated to an instable course of COPD. These observations suggest importance of ADAM33 both to COPD development and to its course, reflected in respiratory function decline.

As case-control researches are particularly susceptible to population stratification, a major source of bias, we adjusted our study for ethnic background to reduce this potential confounder: Both the patient and the control cohort solely included individuals of German Caucasian ancestry that presented no migration influences. However, in opposition to the Dutch results, our data suggest that the polymorphisms studied have no impact on the onset of COPD or on its progression. This fact might be due to sample size or different genetic background, even in genetically quite similar populations, such as the German and the Dutch.

Another recently published study examined 25 SNPs of ADAM33 in 880 smokers. A significant association between 5 SNPs and a COPD was found. S\_2 also was among them. But linkage disequilibrium and haplotype analyses suggested that S\_1 had the strongest degree of association with COPD and pulmonary function abnormalities [15]. Therefore in ADAM33 other SNPs than S\_2 and F+1 might lead to a higher susceptibility toward COPD.

In conclusion, our results show no genetic association between polymorphic variants in ADAM33 and the onset and course of COPD. As often seen in genetic case-control studies, data are contradictory in different samples, even if they are all Caucasians. The study of single genes cannot reflect the complexity of COPD pathogenesis. For that reason, the involvement of ADAM33 in COPD development cannot be completely excluded. To estimate their true effect size, further approaches with enlarged sample sizes in different ethical backgrounds and functional studies may improve understanding of the underlying pathogenetic mechanisms and the influence of ADAM33.

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